



PTO/SB/08a (05-07)

Approved for use through 09/30/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

|                        |                  |
|------------------------|------------------|
| Application Number     | 10828700         |
| Filing Date            | 2004-04-21       |
| First Named Inventor   | Chih-Chiang Yang |
| Art Unit               | 1623             |
| Examiner Name          | G. Krishnan      |
| Attorney Docket Number | P464.312-0001    |

### U.S.PATENTS

| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|---------------|------------------------|------------|---|--|
|                   | 1       |               |                        |            |   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

### U.S.PATENT APPLICATION PUBLICATIONS

| Examiner Initial* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|-------------------|---------|--------------------|------------------------|------------------|---|--|
|                   | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

### FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|-------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
|                   | 1       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |
|                   | 2       |                                      |                           |                        |                  |   |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

### NON-PATENT LITERATURE DOCUMENTS

|  |                        |                  |
|--|------------------------|------------------|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><small>( Not for submission under 37 CFR 1.99)</small> | Application Number     | 10828700         |
|  | Filing Date            | 2004-04-21       |
|  | First Named Inventor   | Chih-Chiang Yang |
|  | Art Unit               | 1623             |
|  | Examiner Name          | G. Krishnan      |
|  | Attorney Docket Number | P464.312-0001    |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.                      | T <sup>5</sup>           |
|--------------------|---------|--|--------------------------|
| /G.K./             | 1       | ATGER et al., "Cyclodextrins as Catalysts for the Removal of Cholesterol from Macrophage Foam Cells", The American Society for Clinical Investigation, Inc., February 1997, pp. 773-780, Volume 99, Number 4   | <input type="checkbox"/> |
| /G.K./             | 2       | LOAN HONEYWELL-NGUYEN et al., "Vesicles as a tool for transdermal and dermal delivery", Drug Discovery Today: Technologies, 2005, pp. 67-74, Vol. 2, No. 1   | <input type="checkbox"/> |
| /G.K./             | 3       | OHVO et al., "Cyclodextrin-Mediated Removal of Sterols from Monolayers: Effects of Sterol Structure and Phospholipids on Desorption Rate", Biochemistry, 1996, pp. 8018-8024, Vol. 35, No. 34,   | <input type="checkbox"/> |
| /G.K./             | 4       | SHIMPI et al., "Cyclodextrins: Application in different routes of drug administration", Acta Pharm., 2005, pp. 139-156, Vol. 55  | <input type="checkbox"/> |
| /G.K./             | 5       | JUZIRO et al., "Interactions of Cyclodextrins with Dipalmitoyl, Distearoyl, and Dimyristoyl Phosphatidyl Choline Liposomes. A Study of Leakage of Carboxyfluorescein in Inner Aqueous Phase of Unilamellar Liposomes", Chemical & pharmaceutical bulletin, pp. 48-52, Vol. 48, No. 1 | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

|                    |                      |                 |            |
|--------------------|----------------------|-----------------|------------|
| Examiner Signature | /Ganapathy Krishnan/ | Date Considered | 08/27/2008 |
|--------------------|----------------------|-----------------|------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.